### Engineering Ethics

Theodore G. Cleveland Associate Professor University of Houston

#### Course Structure

- 2nd Hour:
  - Codes of Ethics
  - Texas Engineering Practices Act

#### Codes of Ethics

- Soldiers, police, firefighters, EMTs etc.
  - High-stress situations; no time to think.
  - Training to respond in a manner to ensure high-probability of correct response.
- Code of ethics serves a similar purpose.
  - Provide guides to respond to an ethical situation in a manner to ensure a highprobability of correct response.

#### NSPE Code of Ethics

- · Example of a code of ethics.
- · Comprehensive

#### Preamble

- · Describes the purpose of the code:
  - Safeguard life, health, property.
  - Promote the public good.
  - Maintain high integrity.

#### **Fundamental Canons**

- Describes and engineer's obligation to society.
  - Public welfare.
  - Competence.
  - Honesty.

#### Rules of Practice

 Expanded descriptions of the fundamental canons

### Professional Obligations

• Expanded last fundamental canons.

#### **Problems**

## Texas Engineering Practice Act

- · Defines:
  - "practice" of engineering.
  - "licensure" qualifications.
  - "misconduct" and associated remedies.
- Purpose (1001.004):
  - Promote the public good (improve quality of life, property, economy, security of the state and the nation)

## Texas Engineering Practice Act

- Section(s) 1001.## contains specific definitions and exemptions.
  - Defines a public work.
  - Sets a dollar value on projects that need licensed engineers to perform services.
- Establishes fees for various license related activities.

## Texas Engineering Practice Act

- Section(s) 1001.210 establishes the continuing education program
  - the reason you are here now!

#### Texas Engineering Practice Act - Continuing Education

- · A course sponsored by
  - an institution of higher education
  - a professional or trade organization
- A seminar, tutorial, short course, correspondence course, videotaped course, or televised course.
- participating in an in-house course sponsored by a corporation or other business entity

## Texas Engineering Practice Act - Continuing Education

- Teaching a course described by Subdivisions (1)-(4)
- Publishing an article, paper, or book on the practice of engineering
- Making or attending a presentation at a meeting of a technical or engineering management society or organization or writing a paper presented at such a meeting;

### Texas Engineering Practice Act - Continuing Education

- Participating in the activities of a professional society or association, including serving on a committee of the organization
- Engaging in self-directed study
  - (up to 5 hours).

### Texas Engineering Practice Act - License Required

- § 1001.301. License Required
  - Practice engineering
  - Use following titles:
    - (1) "engineer"
    - (2) "professional engineer"
    - (3) "licensed engineer"
    - (4) "registered engineer"
    - (5) "registered professional engineer"
    - (6) "licensed professional engineer"
    - (7) "engineered."

### Texas Engineering Practice Act - Renewal Procedure

- § 1001.353. Defines
  - Regular renewal
  - Late (grace period) and associated increase in fees
  - Expired and retesting process (by reference to other sections of the act)

# Texas Engineering Practice Act - Public Officials

- § 1001.402. Enforcement by Certain Public Officials.
  - A public official of the state or of a political subdivision of the state who is responsible for enforcing laws that affect the practice of engineering may accept a plan, specification, or other related document only if the plan, specification, or other document was prepared by an engineer, as evidenced by the engineer's seal.

#### Texas Engineering Practice Act - Public Works

- § 1001.407. Construction of Certain Public Works
  - The state or a political subdivision of the state may not construct a public work involving engineering in which the public health, welfare, or safety is involved, unless:
    - (1) the engineering plans, specifications, and estimates have been prepared by an engineer; and
    - (2) the engineering construction is to be performed under the direct supervision of an engineer.

## Texas Engineering Practice Act

- § 131.81 Definitions
  - Defines meanings of acronyms (ABET) etc. and specific terms such as "graduate engineer" etc.
  - Gross negligence
  - Incompetence

#### Texas Engineering Practice Act

- § 137.17 Continuing Education Program
  - (a) Each license holder shall meet the Continuing Education Program (CEP) requirements for professional development as a condition for license renewal.
  - ......
  - (d) A minimum of 1 PDH per renewal period must be in the area of professional ethics, roles and responsibilities of professional engineering, or review of the Texas Engineering Practice Act and Board Rules.

## Texas Engineering Practice Act

- § 137.37 Sealing Misconduct A license holder shall be guilty of misconduct and subject to disciplinary action if the license holder:
  - (1) knowingly signs or seals any engineering document or product if its use or implementation may endanger the health, safety, property or welfare of the public.
  - (2) signs or affixes a seal on any document or product when the license is inactive or has been revoked, suspended, or has expired.
  - (3) alters a sealed document without proper notification to the responsible license holder.

### Texas Engineering Practice Act - Professional Ethics

- § 137.51 General Practice
- § 137.53 Engineer Standards of Compliance with Professional Procurement Services Act
- § 137.55 Engineers Shall Protect the Public
- § 137.57 Engineers Shall be Objective and Truthful
- § 137.59 Engineers' Actions Shall Be Competent
- § 137.61 Engineers Shall Maintain Confidentiality of Clients
- § 137.63 Engineers' Responsibility to the Profession

### Texas Engineering Practice Act

Ethics Violations	Failure to report change of address or employment	§ 137.5	Reprimand/\$500.00
	Failure to respond to Board communications	§ 137.51(c)	6 month probated suspension \$1,000.00
	Failure to engage in professional and business activities in an honest and ethical manner	§ 137.63(a)	2 year probated suspension/ \$2,500.00
	Misrepresentation; issuing oral or written assertions in the practice of engineering that are fraudulent, deceitful, or misleading	§ 137.57(a), (b)	2 year suspension/\$2,500.00
	Conflict of interest	§ 137.57(c), (d)	2 year suspension/\$2,500.00
	Inducement to secure specific engineering work or assignment	§ 137.63(c)(4)	2 year probated suspension/ \$2,500.00
	Accept compensation from more than one party for services on the same project	§ 137.63(c)(5)	2 year probated suspension/ \$2,500.00
	Solicit professional employment in any false or misleading advertising	§ 137.63(c)(6)	1 year probated suspension/ \$2,500.00
	Offer or practice engineering while license is expired or inactive	§§137.7(a), 137.13(g)	1 year probated suspension/ \$500,00
	Failure to include "inactive" representation with title while in inactive status	§137.13(e)	Reprimand/\$500.00
	Failure to act as a faithful agent to their employers or clients	§ 137.63(b)(4)	1 year probated suspension/ \$1,500.00
	Reveal confidences and private information	§ 137.61(a), (b), (c)	Reprimand/\$1.500.00

## Texas Engineering Practice Act

Attempt to injure the reputation of another	§ 137.63(c)(2)	1 year probated suspension/ \$1,500.00
Retaliation against a complainant	§ 137.63(c)(3)	1 year probated suspension/ \$1.500.00
Aiding and abetting unlicensed practice or other assistance	§§ 137.63(b)(3), 137.63(c)(1)	3 year probated suspension/ \$3,000.00
Failure to report violations of others	§ 137.55(c)	Reprimand/\$1,500.00
Failure to consider societal and environmental impact of actions	§ 137.55(d)	Reprimand/\$1,500.00
Failure to prevent violation of laws, codes, or ordinances	§ 137.63(b)(1), (2)	Reprimand/\$1,500.00
Failure to conduct engineering and related business in a manner that is respectful of the client, involved parties and employees	§ 137.63(b)(5)	1 year probated suspension/ \$1,500.00
Competitive bidding with governmental entity	§ 137.53	Reprimand/\$1,500.00
Expressing an opinion before a court or other public forum which is contrary to generally accepted scientific and engineering principals without fully disclosing the basis and rationale for such an opinion	§ 137.59(c)	2 year suspension/\$2,500.00
Falsifying documentation to demonstrate compliance with CEP	§ 137.17(p)(2)	2 year suspension/\$2,500.00
Action in another jurisdiction	§ 137.65(a) and (b)	Similar sanction as listed in this table if action had occurred in Texas

### **Problems**

• NSPE Exam